

KYC TEMPLATE

FINANCIAL SERVICES ACCELERATOR



KYC TEMPLATE
BUSINESS ELEMENTS

KYC TEMPLATE

Revolutionizing KYC & Onboarding with Power Platform

In today's fast-evolving regulatory landscape, financial institutions face mounting pressure to stay compliant while delivering seamless customer experiences. Our **Financial Services Accelerator** offers a smarter, scalable way to transform KYC, onboarding, and AML processes—without the burden of legacy systems or vendor lock-in.

01

KYC TEMPLATE
PRESENTATION

02

CORE VALUE
PROPOSITION

03

WHAT YOU GET

04

METHODOLOGY :
SUCCESS BY DESIGN
FOR BANKING

KYC TEMPLATE PRESENTATION



Modular Solution Components

Combines
Questionnaire
Engine, Case
Management and
optional D365
Integration as core
modules.



Flexible Cloud Architecture

Built on Power
Platform with
cloud-first design.



Ready-to- Configure Solution

Tailored to meet
unique
organizational
needs with
scalable and
adaptable design.



Empowering Compliance Processes

Supports efficient
onboarding and
KYC with a low-
code compliance-
ready solution.

CORE VALUE PROPOSITION



Accelerated Deployment

Speeds up compliance implementation reducing timelines from months to weeks, enhancing efficiency.



Cost-Effective Platform

Uses Power Platform and Dataverse to avoid expensive licenses, reducing overall costs significantly.



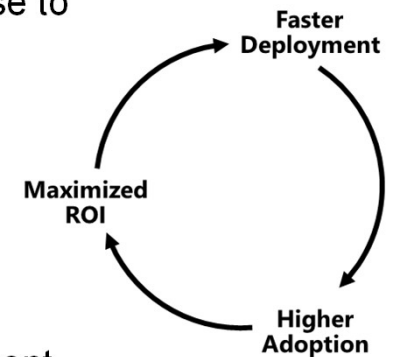
Seamless Integration

Extensible for Dynamics 365 users, providing smooth integration and enhanced functionality.



Reusable Tools

Includes reusable data models and migration tools simplifying deployment and ongoing maintenance.



Beyond Technology: Advisory & Governance

- ☐ Shared governance evolution across clients to reduce costs
- ☐ Embedded compliance advisory tailored to each institution's context
- ☐ Change management based on **Prosci's ADKAR framework** to ensure adoption
- ☐ Structured roadmap from MVP to full deployment, including managed services

The Outcome

- ☐ **Accelerated compliance**
- ☐ **Reduced costs:** no expensive licenses or bespoke code
- ☐ **Improved customer experience:** unified data, faster onboarding
- ☐ **Future-proofed operations:** ready for evolving regulations and AI-driven insights
- ☐ Link to all the process you want to manage

WHAT YOU GET



Success by Design for Banking: **THE QUESTIONNAIRE**

Foundational Questionnaire Component

Captures and evaluates customer data through customizable questionnaires.

Advanced Scoring Engine

Supports risk profiling and compliance checks based on user responses.

Flexible Front-End Options

Includes Power Pages, Canvas Apps, or custom interfaces.

Integration Support

Provides documentation for easy connection with customer-owned front-ends.



WHAT YOU GET



CASE MANAGEMENT

The orchestration layer connecting all compliance processes

- ✓ The Case Management layer is the **core orchestration backbone** of the accelerator.
- ✓ Each regulatory process (KYC, Onboarding, MiFID, ESG,..) runs as a **Business Process Flow** linked to Dataverse tables.
- ✓ Built entirely on **Microsoft Power Platform** (Dataverse + Power Automate + Power Apps).
- ✓ Provides out-of-the-box **routing, queueing, escalation & security**.



WHAT YOU GET



KNOW YOUR CUSTOMER (KYC) PROCESS OVERVIEW

Our accelerator includes a preconfigured KYC flow aligned with AML and eIDAS 2.0 expectations



01

Customer Identification

Collect & validate identity data



02

Risk Profiling & Scoring

Automatic Risk classification



03

Document Verification

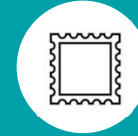
Request & Validate Documentation



04

Compliance Review

Route case based on risk level



05

Approval / Escalation

Supervisor sign-off & SLA checks



06

Closure & Audit

Lock case & store version history

WHAT YOU GET



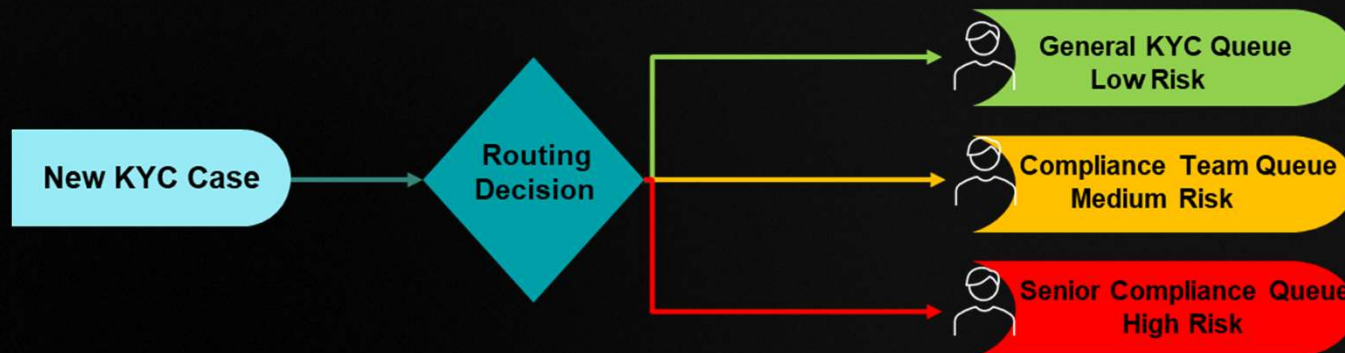
KYC ROUTING AND QUEUEING

Ensuring the right case reaches the right team securely

- ✓ Routing driven by risk level, region, or customer type
- ✓ Queue membership defines visibility and edit rights
- ✓ All actions audited; escalations triggered on SLA breach

Example rules table:

Risk Level	Queue	Assigned Team	SLA
Low	General KYC Queue	Operations	24 h
Medium	Compliance Queue	Compliance Team	48 h
High	Senior Compliance Queue	Compliance Managers	72 h



WHAT YOU GET



CUSTOMER ONBOARDING PROCESS OVERVIEW

Automating onboarding from opportunity to active customer

1 - Trigger Event

Opportunity closed / account request received

2 - Data Validation

Sync and validate customer details (duplicates, completeness)

3 - KYC Linkage

Reuse existing KYC or start new KYC case if needed

4 - Account Setup

Create customer record, assign account manager, trigger internal tasks

5 - Welcome & Closure

Generate welcome email/package, finalize ownership

WHAT YOU GET



KYC Monitoring & reporting



Security, Roles & Governance



Unparalleled security and trust



Business process catalog



Best Practices for standardizing processes

METHODOLOGY

Success by Design for Banking:

Your Toolkit to Prepare, Anticipate, and Succeed in Compliance

✓ Proactive guidance

✓ Governance

✓ Toolset

✓ Compliance advisory
& Regulatory Watch

✓ Deliverables

✓ Decision
methodology

✓ Change
management

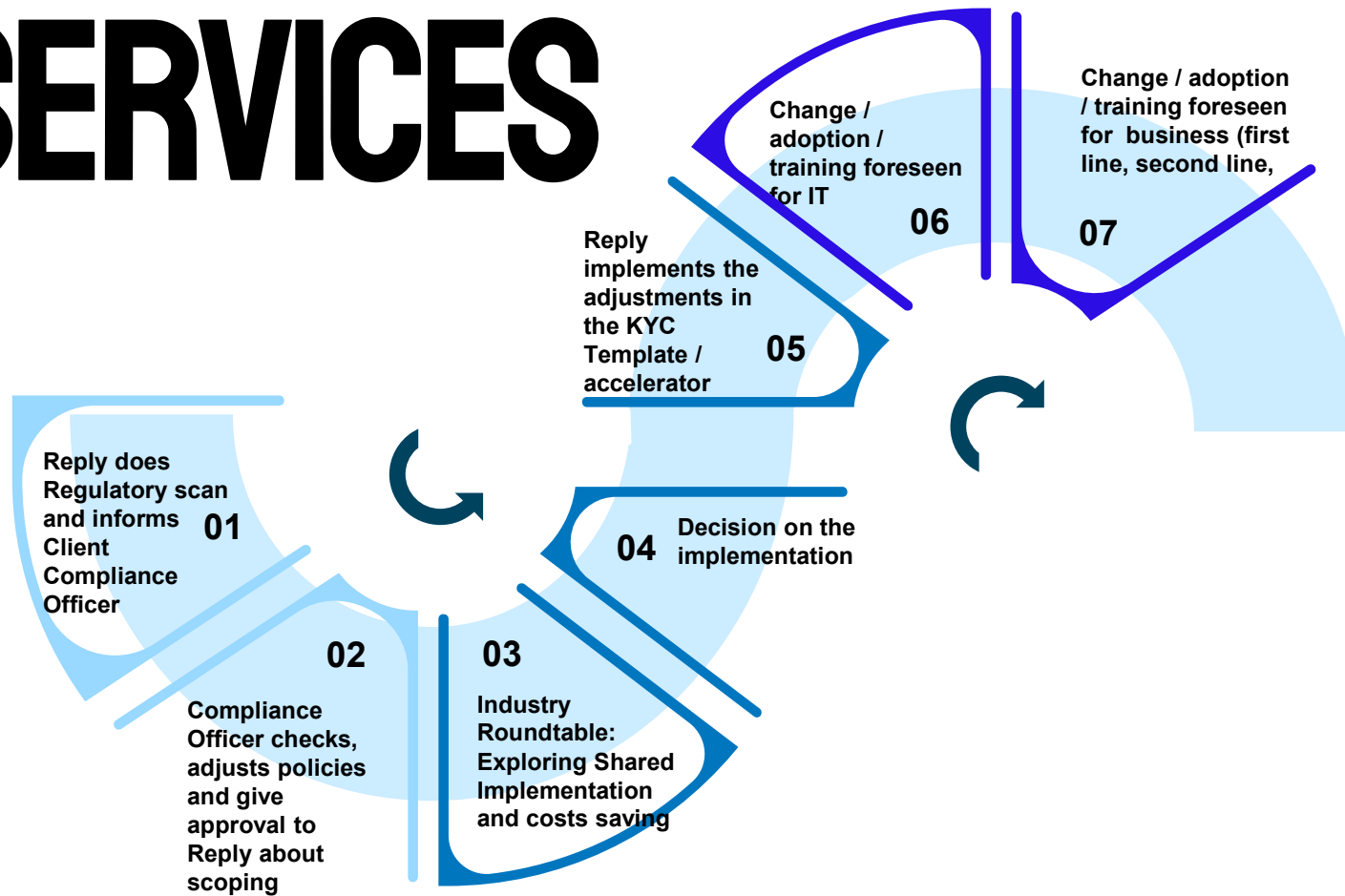
✓ Training & Adoption

	INITIATION First Steps	IMPLEMENTATION Design & build	PREPARATION Implementation	MANAGEMENT In production
TECHNICAL PHASES (CIO - IT audience)	Requirements analysis	Coding	Testing & Acceptation	Status of the solution
	Project management	Configuration	Go-Live planning	Usage
	Gap analysis	Data Modelling	User acceptance	Maintenance
	Kick off	Integration	Migration / deployment planning	Evaluation after Go-live
	Evaluation of solution design	Performance of solution	Go-live readiness	
	FRD Success By design for banking	Security modelling		Reporting & Watch
BUSINESS PHASES (front-office, back-office, compliance)	Fit gap analysis template / client needs	Intermediate impact assessments	Training Business (front-office, back-office, compliance)	Handover to the client
	Compliance / industry Workshops	Pulse Surveys	Training Technical to manage the solution	Regular Governance Newsletter
	End-to-end process workshops	Blended learning (intermediate e-learnings, info sessions)	Stakeholders' engagement	Regular Monitoring of compliance (supported by AI)
	RACI Matrix Anticipate and Organize Tasks	Ambassador (champions) program	Regulation challenge Business 'Did you know sessions'	Roadmap of solution : Industry round tables
			Deep dives / Brain Snacks for Business: "What is new?"	Adoption dashboards & KPIs

MANAGED SERVICES

TECHNOLOGY PART	REGULATORY WATCH
Support helpdesk	
Update-information and release management	Assessment of applicability to your organisation,
QBR	Extracting obligations and requirements
Platform Health checks	Suggesting obligations
Bug fixing & change requests	Performance of solution
Security	requirements & controls, regulatory chatbot
Reports & dashboards	Requirements & controls, regulatory chatbot
Application performance monitoring (KPI's, recommendations, etc.)	Peer Intelligence
	Requirements & controls, regulatory chatbot
	Governance newsletters

MANAGED SERVICES





**THANK
YOU**